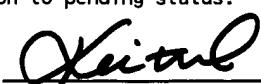


Form PTO-1390 (Rev. 01-2003)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER SAE-036
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. 10/516,621 (unofficial)	
INTERNATIONAL APPLICATION NO. PCT/JP03/07389	INTERNATIONAL FILING DATE June 11, 2003	PRIORITY DATE CLAIMED June 14, 2002	
TITLE OF INVENTION AQUEOUS RESIN DISPERSION COMPOSITION AND PROCESS FOR PRODUCING THE SAME		DATE March 1, 2005	
APPLICANT(S) FOR DO/EO/US Tatsuo TSUNEKA, Takafumi MASUDA, Shoji MAEKAWA and Kazuhiro HATANO			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<p>1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</p> <p>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). (* pages and * sheets of drawings (Fig(s)). *--). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11 to 20 below concern document(s) or information included:</p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input type="checkbox"/> Other items or information:</p>			

U.S. Application No. 10/516,621 (unofficial)	International Application No. PCT/JP03/07389	Attorney's Docket No. SAE-036								
		CALCULATIONS PTO USE ONLY								
21. [] The following fees are submitted:										
<table> <tr> <td>Basic National Stage Fee</td> <td>\$300.00</td> </tr> <tr> <td>National Stage Search Fee</td> <td>\$500.00</td> </tr> <tr> <td>National Stage Examination Fee</td> <td>\$200.00</td> </tr> <tr> <td colspan="2" style="text-align: right;">TOTAL FEE FOR ENTERING U.S. NATIONAL STAGE = \$</td> </tr> </table>			Basic National Stage Fee	\$300.00	National Stage Search Fee	\$500.00	National Stage Examination Fee	\$200.00	TOTAL FEE FOR ENTERING U.S. NATIONAL STAGE = \$	
Basic National Stage Fee	\$300.00									
National Stage Search Fee	\$500.00									
National Stage Examination Fee	\$200.00									
TOTAL FEE FOR ENTERING U.S. NATIONAL STAGE = \$										
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).										
National Stage Application Size Fee - If the specification and drawings exceed 100 sheets, the application size fee due is \$250.00 for each additional 50 sheets or fraction thereof.										
CLAIMS	NUMBER FILED	NUMBER EXTRA								
Total claims	- 20	x \$ 50.00								
Indep. claims	- 3	x \$ 200.00								
Multiple dependent claim(s) (if applicable)		+ \$ 360.00								
TOTAL OF ABOVE CALCULATIONS = \$ 0.00										
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.										
SUB TOTAL = \$ 0.00										
Processing fee \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).										
TOTAL NATIONAL FEE = \$ 0.00										
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$ 0.00										
TOTAL FEES ENCLOSED = \$ 0.00										
		Amount to be refunded: \$								
		charged \$								
<p>a. [] Check in the amount of <u>\$*.00</u> to cover the above fees is enclosed.</p> <p>b. [] Please charge my Deposit Account No. <u>111833</u> in the amount of \$ _____ to cover the above fees.</p> <p>c. [XX] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>111833</u>.</p>										
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to review (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.</p>										
<p>SEND ALL CORRESPONDENCE TO: KUBOVCIK & KUBOVCIK The Farragut Building Suite 710 900 17th Street, N.W. Washington, D.C. 20006</p>		 Signature <u>Keiko Tanaka Kubovcik</u> Name <u>40,428</u> Registration Number <u>March 1, 2005</u> Date								

TKT/jbf

P:W03-05WsaE-036-ptO-sec-sub.wpd

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop PCT

In re the application of:

Tatsuo TSUNEKA et al.

International Application No. PCT/JP03/07389

I.A. Filing Date: June 11, 2003

U.S. Serial Number: 10/516,621 (unofficial)

Atty. Dkt. Number: SAE-036

Customer No.: 20374

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

March 1, 2005

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each document is attached.

No fee or statement is required in connection with this Information Disclosure Statement, since it is being submitted prior to the last of (1) issuance of a first official action on the merits and (2) expiration of the three month period following filing of the above-captioned application.

U.S. Patent Appln. S.N. 10/516,621 (unofficial)
INFORMATION DISCLOSURE STATEMENT

PATENT

Documents UA to UC and FA are in the English language. Therefore, no concise explanation of the relevance of the documents is believed to be required under 37 C.F.R. §1.98.

Documents FB to FG are not in the English language. However, the concise explanation of the relevance of the documents required under 37 C.F.R. §1.98 is believed to be satisfied by the submission of English language abstracts thereof.

Documents UA to UC and FA to FF are cited in the International Search Report of the present application. A copy of the search report was submitted to the Office on December 3, 2004. Please note an Examiner's obligation to consider documents cited in an International Search Report according to the provisions of MPEP §1893.03(g).

The Commissioner is authorized to charge our Deposit Account No. 111833 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

KUBOVCIK & KUBOVCIK



Keiko Tanaka Kubovcik
Reg. No. 40,428

Atty. Case No. SAE-036

U.S. Patent Appln. S.N. 10/516,621 (unofficial)
INFORMATION DISCLOSURE STATEMENT

PATENT

The Farragut Building
Suite 710
900 17th Street, N.W.
Washington, D.C. 20006
Tel: (202) 887-9023
Fax: (202) 887-9093
KTK/jbf
Attachments: PTO-1449
Ten Documents

INFORMATION DISCLOSURE STATEMENT		Atty. Docket No. SAE-036	Serial No. 10/516,621 (unofficial)
PTO-1449		Applicant: Tatsuo TSUNEKA et al.	
		Filing Date: December 3, 2004	Group: Unknown

U.S. PATENT DOCUMENTS

Examiner's Initial		Document No.	Date	Name	Class	Sub Class	Filing Date If appropriate
	UA	5,319,032 A	06/07/94	Martz et al.	525	301	
	UB	5,397,602 A	03/14/95	Martz et al.	427	343.5	
	UC	5,539,043 A	07/23/96	Kimura et al.	524	504	
	UD						
	UE						
	UF						
	UG						

FOREIGN PATENT DOCUMENTS

Examiner's Initial		Document No.	Date	Country	Translation Yes/No/Partial
	FA	0 874 031 A1	10/28/98	EP	
	FB	10-330563 A	12/15/98	JP	Abstract
	FC	2001-226545 A	08/21/01	JP	Abstract
	FD	2001-163984 A	06/19/01	JP	Abstract
	FE	7-102134 A	04/18/95	JP	Abstract
	FF	7-26088 A	01/27/95	JP	Abstract
	FG	6-80845 A	03/22/94	JP	Abstract

OTHER DOCUMENTS

Examiner's Initial		
	DA	
	DB	
	DC	

Examiner:	Date Considered:
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